

FIG. 1

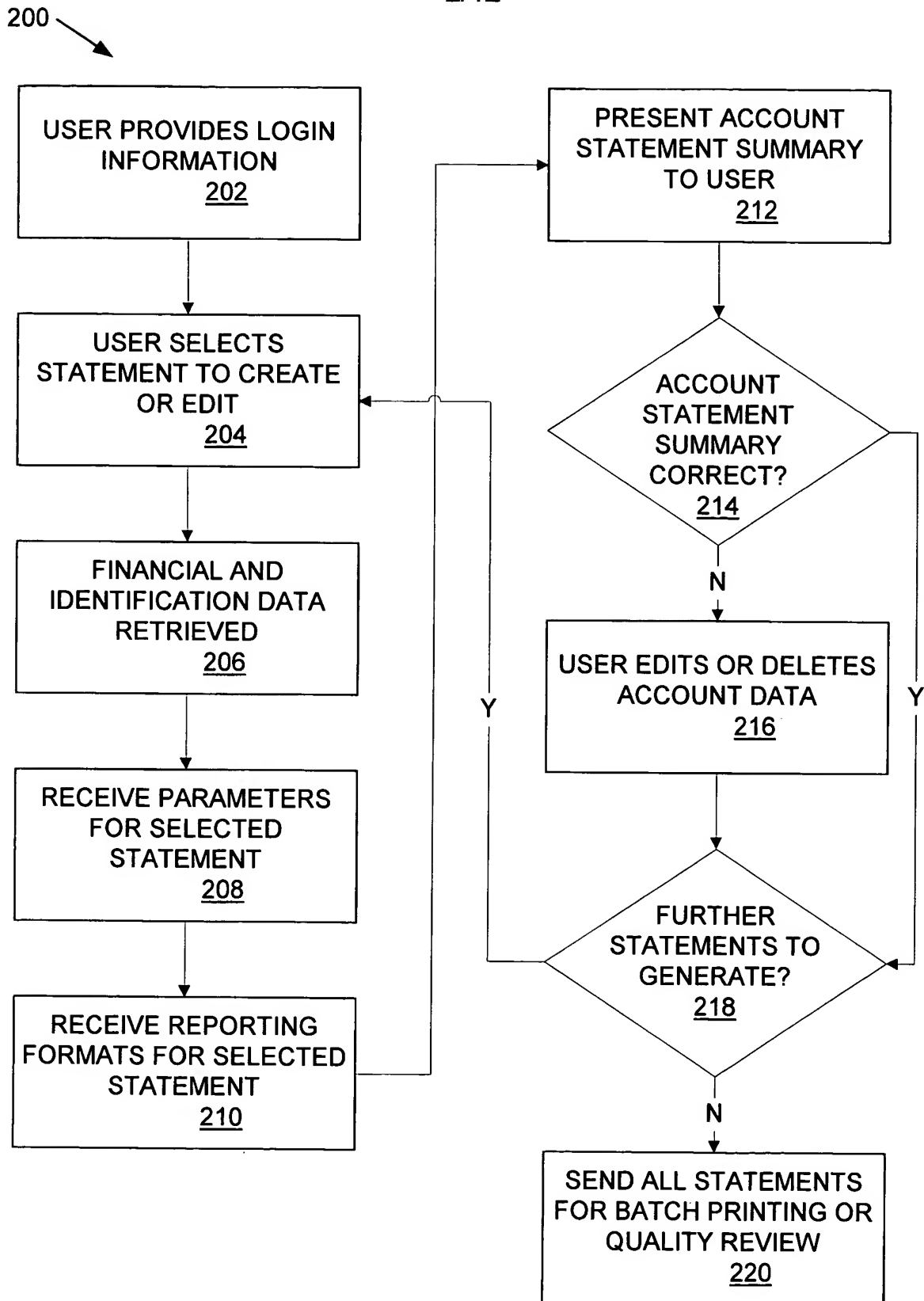


FIG.2

300

302

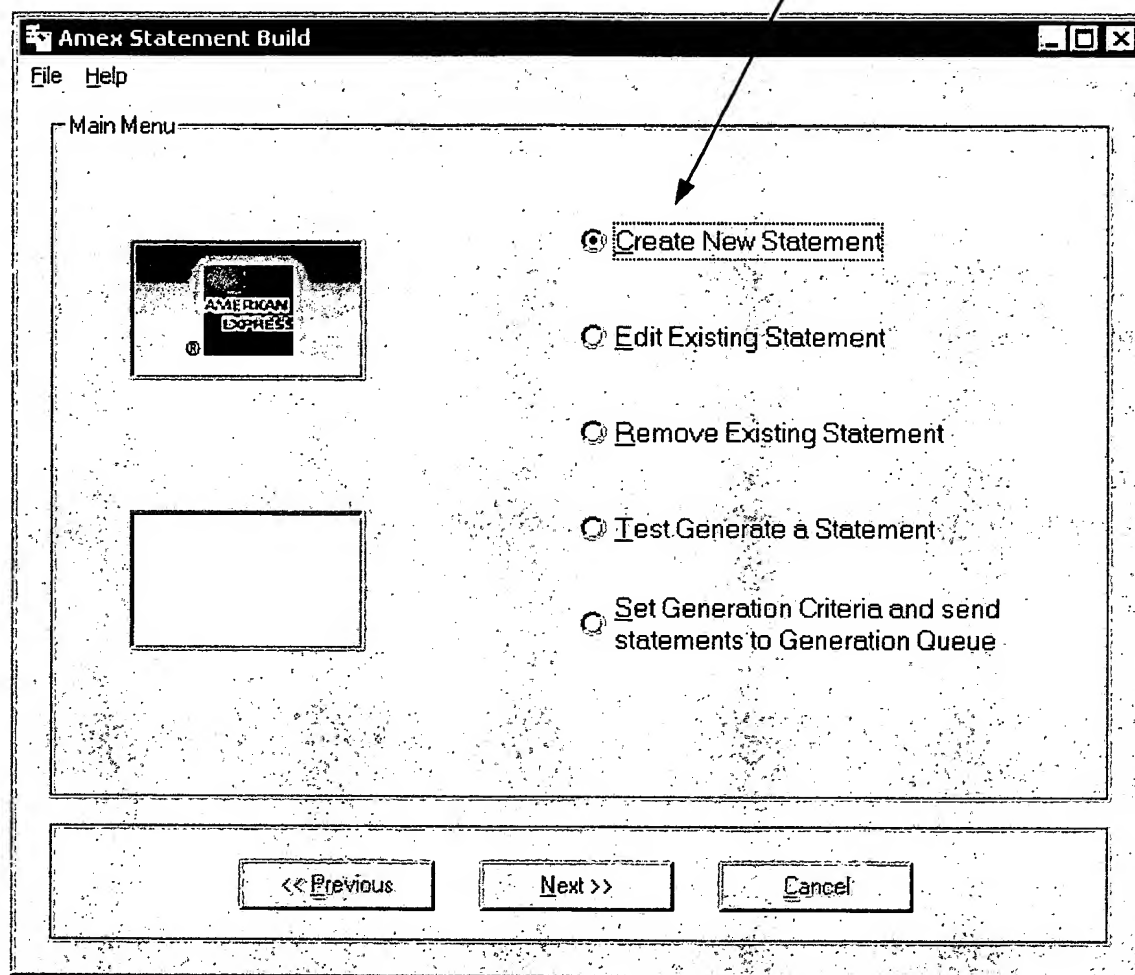


FIG. 3

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400

Amex Statement Build

File Help

Select Statement

Account Number: 312

Recipient Name: Steve Krueger

Existing Statements	Status

Select the Account Number and the Recipient Name for the new statement you want to create. Then type the description of the new statement below.

New Statement Description: A Statement Just for Steve

<< Previous    Next >>    Cancel

402

FIG. 4

500

The screenshot shows a window titled "Amex Statement Build" with a menu bar containing "File" and "Help". The window is divided into two main sections. The top section, "Information on Selected Recipient", contains a table with two columns: "Account Number" and "Statement Description". The "Account Number" column contains the value "312". The "Statement Description" column contains the value "A Statement Just for Steve". Below the table, there are two columns of text. The left column contains: "Recipient Name: Mr. Krueger", "Admin. Salutation: Mr. Steve Krueger Esq.", "Marketing Salutation: Steve", "Title 1:", "Title 2:", "Company Name:", "Address 1: 754 AXP Financial Center", "Address 2: Attn: Lynn Sainati", "Address 3:", "Address 4:". The right column contains: "City: Minneapolis", "State/Prov. Initial: MN", "County/Province:", "ZIP Code: 55474", "Country:". The bottom section, "Enter Statement Information", contains three rows of text: "Delivery Method: USMail", "Sequence Type: MST", "Binding Method: Spiral". To the right of this text are two columns of controls. The first column contains: "Statement Type" with a dropdown menu showing "On Request", and "Number Of Copies" with a numeric input field showing "1". The second column contains: "Statement Status" with a dropdown menu showing "Active", and two checkboxes: "Quality Review Required" (checked) and "Corporate Copy" (unchecked). At the bottom of the window are three buttons: "<< Previous", "Next >>", and "Cancel".

Account Number	Statement Description
312	A Statement Just for Steve

Recipient Name: Mr. Krueger  
Admin. Salutation: Mr. Steve Krueger Esq.  
Marketing Salutation: Steve  
Title 1:  
Title 2:  
Company Name:  
Address 1: 754 AXP Financial Center  
Address 2: Attn: Lynn Sainati  
Address 3:  
Address 4:

City: Minneapolis  
State/Prov. Initial: MN  
County/Province:  
ZIP Code: 55474  
Country:

Delivery Method: USMail  
Sequence Type: MST  
Binding Method: Spiral

Statement Type: On Request  
Number Of Copies: 1

Statement Status: Active  
☒ Quality Review Required  
☐ Corporate Copy

<< Previous    Next >>    Cancel

502

FIG. 5

600

**Amex Statement Build**

File Help

**Statement Description**

Account Number: 312      A Statement Just for Steve      Recipient Name: Mr. Krueger

Select Report and Sequence

Company: AMG

Category: Tran Detail

**Available Reports**

- 433 State of FL Broker Commission
- Corporate Actions - AMG
- Dividends Received
- Interest Received
- Securities Received
- Securities Withdrawn
- Transaction Journal - Pending
- Transaction Journal - Purchases
- Transaction Journal - Sales

Add >>

<< Remove

**Selected Reports:**

Select a report and click the Add button

Select a report and click Remove to remove it, or drag to reorder

<< Previous      Next >>      Cancel

602

604

FIG. 6

700 →

Amex Statement Build

File Help

**Statement Description**

Account Number: 312      A Statement Just for Steve      Recipient Name: Mr. Krueger

Select Report Parameters

Reports requiring parameters

- Transaction Journal - Purchases
- Transaction Journal - Sales

Enter period (MON, QTR) MON

<< Previous      Next >>      Cancel

702

FIG. 7

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800 →

The screenshot shows a software window titled "Amex Statement Build". It has a menu bar with "File" and "Help". The main area is divided into sections. At the top, there are three fields: "Account Number" with the value "312", "Statement Description" with the value "A Statement Just for Steve", and "Recipient Name" with the value "Mr. Krueger". Below these is a section titled "Summary of Statement Options" which contains a large text box. This text box displays a "Summary Report" for "A Statement Just for Steve" with the following details: Account Number : 312, Recipient : Mr. Krueger, Statement Type : On Request, Statement Status : Active, Binding : Spiral, Number of Copies : 1, and Quality Review Needed: Yes. To the right of this text box is a vertical scrollbar. Below the text box are four buttons: "Print Summary", "Save Changes", "Test Generate", and "Return to Menu". At the bottom of the window are three more buttons: "<< Previous", "Next >>", and "Cancel".

Account Number	Statement Description	Recipient Name
312	A Statement Just for Steve	Mr. Krueger

Summary of Statement Options

Summary Report  
For  
A Statement Just for Steve

Account Number : 312  
Recipient : Mr. Krueger  
Statement Type : On Request  
Statement Status : Active  
Binding : Spiral  
Number of Copies : 1  
Quality Review Needed: Yes

Print Summary Save Changes Test Generate Return to Menu

<< Previous Next >> Cancel

FIG. 8



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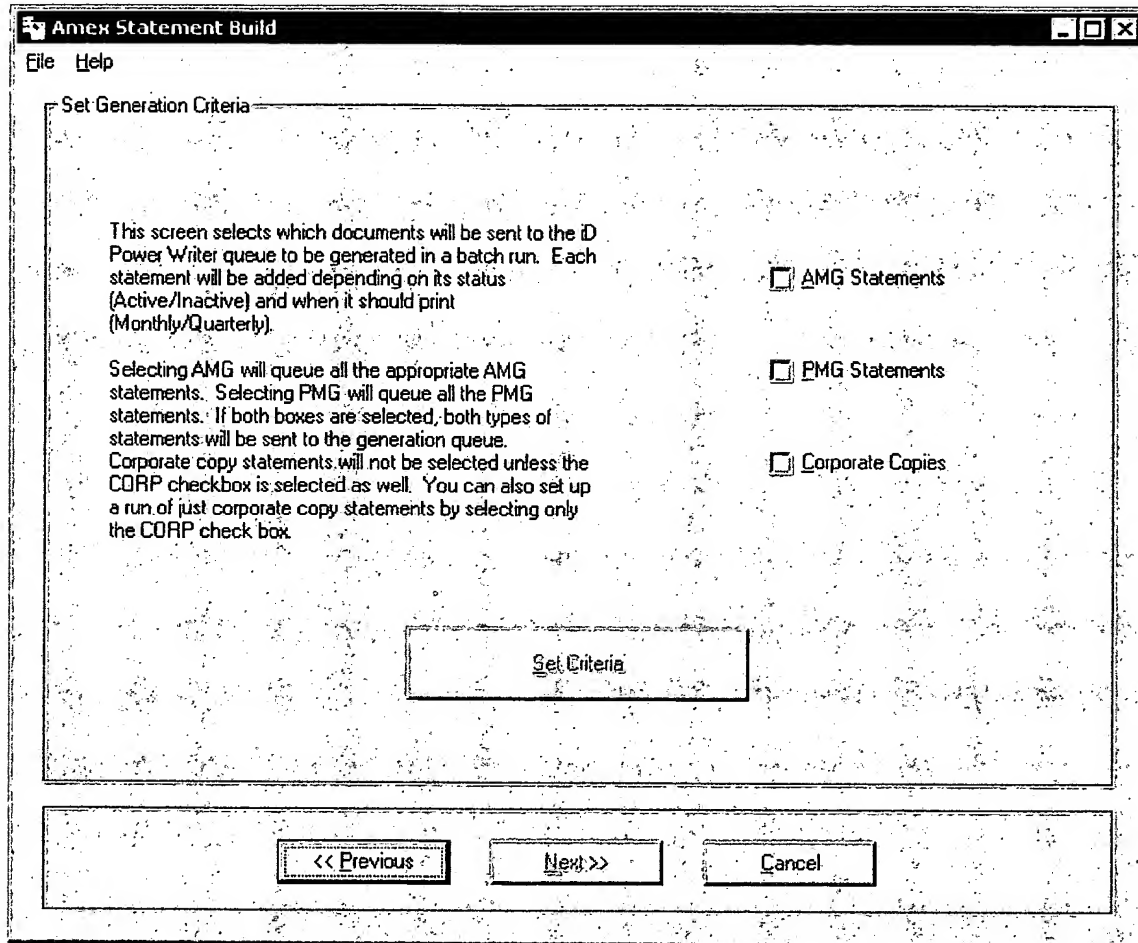


FIG. 9

1000 →

STMT_ID	RPT_GRP_ID	RECIPIENT_ID	STMT_DESC	STMT_ACTV_CODE	STMT_FREQ_CODE	COPIES_NBR	QLTY_REVIEW_IND	CORP_STMT_IND
221	312	87	SteveKr Test	Active	Monthly	6	0	0

FIG. 10

1100 →

RPT_ORD_ID	RPT_SEQ	STMT_ID	MODEL_ID	ORD_PARM1_TEXT	ORD_PARM2_TEXT	ORD_PARM3_TEXT
10248	1	221	HSSHATAMG	Yes	Yes	
10249	2	221	HDMVAMG			

FIG. 11

1200 →

MODEL_ID	BUSN_OWN_C	RPT_CATG_ODE	DESC	RPT_NAME	PARAM1_LABEL_TEXT	PARAM2_LABEL_TEXT
TSCOM	Both	Tran Summary	Commissions			
TSCFPMG	PMG	Tran Summary	Cash Flow - PMG		Enter period (MON, QTR)	
TSCFAMG	AMG	Tran Summary	Cash Flow - AMG		Enter period (MON, QTR)	
TDS	Both	Tran Detail	Transaction Journal - Sales		Enter period (MON, QTR)	
HSSHATAMG	AMG	Holding Summary	Summary of Security Holdings - AMG		Sec Only line (Yes/No)	Prt FYTD column (Yes/No)
HSBPASA	Both	Holding Summary	Fixed Income Allocation			
HDMVPMG	PMG	Holding Detail	Market Valuation - PMG			
HDMVAMG	AMG	Holding Detail	Market Valuation - AMG			
CSRPTCOM433	AMG	Tran Detail	433 State of FL Broker Commission Report			
CSRPTCMV498	PMG	Tran Summary	498 Brain Science Foundation Chg in Mkt Val			
CSAMAP318	AMG	AMAP	318 Iron Workers Local Union AMAP			
CSAMAP302	AMG	AMAP	302 AMEX Retirement AMAP			
CS47FPSMD	AMG	Performance	47F Portfolio Performance Monitor			
CS302PSMD	AMG	Performance	302 Portfolio Performance Monitor			
BMCOMM	Both	Text Report	Monthly Commentary		Select version	
BLOB161	Both	Text Report	Economic Summary		Enter Report Title	Enter Blob file name
BIPTG	Both	Text Report	Tier Board		Select version	

FIG. 12

1300 →

RPT_GRP_ID	GRP_REAS_CODE	GRP_DESC	GRP_SHORT_NAME	GRP_LONG1_NAME	GRP_LONG2_NAME	GRP_LONG3_NAME	BUS_OWNER_CODE	DSPL_IND
312		312	Cookie Monster's Fund	Big Bird's House			AMG	Y

FIG. 13

1400 →

RPT_GRP_ID	RECIPIENT_ID	START_DATE	END_DATE
312	87	4/26/2002	

FIG. 14

1500 →

RECIPIENT_ID	RECIPIENT_NAME	MAIL_LABEL_NAME	ADM_SLTN_TEXT	MKT_SLTN_TEXT	TITLE1_TEXT	TITLE2_TEXT	COMPANY_NAME	ADDR1_TEXT
2	Steve Krueger	Mr. Krueger	Mr. Steve Krueger Esq.	Steve				754 AXP Financial Center

ADDR2_TEXT	ADDR3_TEXT	ADDR4_TEXT	CITY_NAME	STATE_PROV_NAME	CNTY_PROV_NAME	ZIP_CODE	COUNTRY_NAME	DEL_MTHD_CODE	SPECIAL_PROCC_CODE	END_MTHD_CODE
Attn: Lynn Sainati			Minneapolis	MN		55474		USMail	MST	Spiral

FIG. 15

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1600 →

CUR_DOC	CUR_PER	CUR PER	BUS OWNE	STMT ID
07312002000	7/31/2002	8/7/2002	AMG	1
07312002000	7/31/2002	8/7/2002	AMG	41

FIG. 16

1700 →

RQST_ID	RQST_CODE	RQST_DOC_ID	RQST_DESC	RQST_PLCY_NAME	RQST_PLCY_ID	RQST_DOC_NAME
126	3	ModelDoc...	BobTest1	Doc_num	0731200200019	0731200200019
127	3	ModelDoc...	Alana/Test	Doc_num	0731200200019	0731200200020
136	4	ModelDoc...	BobTest1	Doc_num	0731200200019	0731200200019

RQST_ERROR_DESC	RQST_STAT_CODE	RQST_DATE	RQST_APR_IND
	P		B
	P		N
	S		K

FIG. 17